

zioo Pennsylvania Avenue, NW Washington, DC 20037-3213 T 202.293.7060 F 202.293.7860

www.sughrue.com

FAX

Date January 10, 2011

To Examiner Lakshmi S. Channavajjala

Of USPTO

Fax (571) 273-0591

From Sunhee Lee and Jerrick J. Ho

Atty Docket No. Q64175 U.S. Appl. No. 09/848,225

Pages 25

(including cover sheet)

Please call attention to problems with this transmission by return fax or telephone. Thank you.

THE INFORMATION CONTAINED IN THIS COMMUNICATION IS CONFIDENTIAL, MAY BE ATTORNEY-CLIENT PRIVILEGED, AND IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE. UNAUTHORIZED USE, DISCLOSURE OR COPYING IS STRICTLY PROHIBITED AND MAY BE UNLAWFUL. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US.

Examiner Channavajjala,

Thank you for discussing the above-identified application with us today. In response to your request, please find the translations of JP 4-193814 and JP 63-192703, presented in the interview, attached for your reference.

11-01-07;09:50PM;

2/ 11

STATEMENT

I, Satoshi OHGUSHI—hereby declare that I am conversant in both Japanese and English languages and that I believe the following is a true and correct translation of JP 4-193814 A.

Date: January 7, 2011

Satoshi OHGUSHI

11-01-07;09:50PM;

SUGHRUE (1)

3/ I1

- (19) Japan Patent Office (JP)
- (12) Publication of Patent Application (A)
- (11) Publication Number of Patent Application: JP 4-193814 A
- (43) Date of Publication of Application: July 13, 1992

5	(51)	Int. Cl.5	Identification	Intraoffice Reference
			Number	Number
		A 61 K 7/00	V	9051-4C
			С	9051-4C
			W	9051-4C

10 Request for Examination: not made

Number of Claim: 1 (6 pages in total)

- (54) Title of the Invention: Transparent or semi-transparent cosmetic composition
- (21) Application Number: Hei-2-322081
- (22) Application Date: November 26, 1990
- 15 (72) Inventor: Mami Nakamura

6-5-406, Sagamidaidanchi, Sagamihara-shi, Kanagawa

(72) Inventor: Seiji Honma

Kao-Kennmigawa dormitory, 1-3, Asahigaoka-cho, Chiba-shi, Chiba

(71) Applicant: Kao Corporation

20 14-10, Nihonbashi Kayabacho 1-Chome, Chuo-ku, Tokyo

(74) Agent: Patent Attorney, Mitsuyuki Ariga

Patent Attorney, Toshio Takano

Patent Attorney, Toshio Nakajima

4/11

Specification

1. Title of the Invention

Transparent or semi-transparent cosmetic composition

5

10

2. Claims

- (1) A cosmetic composition comprising:
- (A) 0.05-30% by weight of an amphipathic lipid,
- (B) 0.05-30% by weight of a nonionic surfactant,
- (C) 1-50% by weight, based on (B), of an ionic surfactant, and
- (D) 40-99% by weight of an aqueous medium,

wherein said composition is transparent or semi-transparent, and wherein the ratio of (A)/[(B)+(C)] is 0.2-10.

15 3. Detailed Description of the Invention

[Industrial Field of Application]

This invention relates to a cosmetic composition in which amphiphatic lipids are stably microdispersed in an aqueous medium, and which exhibits a transparent or semitransparent appearance.

20

25

[Background Art and Problems that the Invention is to Solve]

The water content of the stratum corneum decreases as the skin becomes more rough, dry, or aged. It is believed that oils increase the water content of the stratum corneum by occlusion of the skin surface or by directly acting on the stratum corneum, thereby softening the stratum corneum. On the other hand, it is believed that aqueous moisture retaining agents increase the water content of the stratum corneum because of

10

15

20

25

their water retaining ability, resulting in a softening of the stratum corneum. This is why cosmetic compositions containing various oils or aqueous moisture retaining agents, improve the feel of the skin and the skin's appearance.

However, oils have the problem that they tend to be greasy or cause a skin shine. Aqueous moisture retaining agents are likely to be washed away with water, resulting in a short-lived cosmetic effect.

Amphipathic lipids such as intercellular lipids, extracted from the skin, have been used in cosmetic compositions instead of oils or aqueous moisturizing agents, (JP 62-29508 A, JP 62-120308 A). Likewise synthetic compounds having analogous structures to intercellular lipids have also been used for similar purposes (JP 62-228048 A). Intercellular lipids, are found in the intercellular portions of the stratum corneum, and form stratified structures which aid in the adhesion of the stratum corneum cells, and in the hydration of the stratum corneum. Amphiphatic lipids, such as the intercellular lipids, are solid at room temperature (25°C), and are incorporated into the cosmetic composition with the emulsified state by mixing with oils, or with dissolved state by using large amounts of surfactant when used in a stable state in cosmetics.

Only opaque, white emulsions are obtained by these methods other than the method in which large amounts of surfactant is used, and it is difficult to incorporate intercellular lipids stably into cosmetic compositions wherein the lipids are in high concentration. In addition, large amounts of surfactant, tends to interfere with the function of the amphiphatic lipids, sometimes resulting in skin irritation due to the surfactants.

It would be desirable, therefore, to provide a cosmetic composition which does not irritate the skin, but which contains the amphiphatic lipids in a stable and clear transparent or semi-transparent condition.

15

25

[Means for Solving the Problems]

Under such a situation, the present inventor diligently made investigations. As a result, it has been found that, by the use of a nonionic surfactant in combination with an ionic surfactant, the appearance of the resulting cosmetic composition is beautifully transparent or semi-transparent, and the amphiphatic lipids which are stable and microdispersed can be incorporated therein with relatively small quantity of the surfactant.

Specifically, a cosmetic composition has been provided which comprises:

- (A) 0.05-30% by weight of an amphiphatic lipid,
- 10 (B) 0.05-30% by weight of a nonionic surfactant,
 - (C) 1-50% by weight, based on (b), of an ionic surfactant, and
 - (D) 40-99% by weight of an aqueous medium.

wherein said composition is transparent or semi-transparent, and wherein the ratio of (A)/[(B)+(C)] is 0.2-10.

In this invention, the cosmetic composition which has about 1-1500 ppm of turbidity is referred to as transparent or semi-transparent. Turbidity is measured using purified Kaolin as a standard in aqueous solution (1 ng/liter) of 1 ppm as measured by a Turbidimeter

An amphiphatic lipid (A) of this invention is defined as a lipid which has both hydrophobic group(s) and hydrophilic group(s) and can be dispersed in water but is not water soluble, and which is solid at room temperature (25°C). Suitable amphiphatic lipids include the higher alcohols, fatty acids, ceramides, glycoceramides, phospholipids, glycolipids, cholesterols, cholesterol fatty acid esters, and derivatives thereof.

Preferred are the amphiphatic lipids of the formula (I)

11-01-07;09:50PM:

5

10

15

20

25

SUGHRUE (1)

7/11

wherein R¹ is a straight-chain or branched, saturated or unsaturated hydrocarbon having 10 to 26 carbon atoms, and R² is a straight-chain or branched, saturated or unsaturated hydrocarbon having 9 to 25 carbon atoms.

The method of preparation of the compound presented by formula (I) is described in JP 62-228048 A, JP 63-216852 A. The amphiphatic lipids described above can be used individually or in combination. The smide derivative (I) is especially preferably used individually or in combination with the other amphipathic lipids. When the combination of amide derivative (I) and another amphiphatic lipid is used, it is preferable that the ratio be in the range of 100/1-1/100, and more preferably 10/1-1/10. Amphiphatic compounds are incorporated in the present cosmetic composition in an amount of 0.05-30% by weight, preferably 0.1-10% by weight based on the total composition.

Suitable nonionic surfactants (B) for use in the present composition include, surfactants with polyoxyethylene, for example, polyoxyethylene hydrogenated caster oil; polyoxyethylene sorbitan fatty acid ester, such as polyoxyethylene sorbitan monostearate, polyoxyethylene sorbitan tetraoleate; polyoxyethylene glyceryl fatty acid ester, such as polyoxyethylene glyceryl monoisostearate, polyoxyethylene glycol triisostearate; polyothylene glycol fatty acid ester, such as polyothylene glycol monoisostearate; polyoxyethylene alkyl ether, such as polyoxyethylene hexyl decyl ether, polyoxyethylene octyl dodecyl ether, polyoxyethylene lauryl ether, polyoxyethylene cetyl ether, polyoxyethylene stearyl ether, polyoxyethylene oleyl ether, polyoxyethylene nonyl phenyl ether. Other nonionic surfactants, such as polyglycerine alkyl ether, polyglycerine fatty acid ester, sucrose fatty acid ester are also usable. Preferred nonionic surfactants are polyoxyethylene hydrogenated caster oil or a

10

15

20

25

polyoxyethylene alkyl ether having HLB 8 to 20, preferably 10-16. These nonionic surfactants may be used individually or in combination, so long as the total has an HLB of 8 to 20. The cosmetic composition of this invention contains 0.05-30% by weight, preferably 0.1-10% by weight of the component (B) based on the total composition.

Ionic surfactant(s) used in this invention are anionic surfactants, amphoteric surfactants or cationic surfactants. Suitable anionic surfactants for use in the present composition include polyoxyethylene alkyl sulphate, such as sodium polyoxyethylene lauryl ether sulphate, polyoxycthylene lauryl ether sulphate triethanol amine; N-acyl amino acids such as sodium lauroyl sarcocin, sodium lauroyl methylalanine; polyoxyethylene alkyl ether phosphates, such as sodium polyoxyethylene lauryl ether phosphate, sodium polyoxyethylene cetyl ether phosphate, dipolyoxyethylene alkyl ether phosphates, tripolyoxyethylene alkyl ether phosphates, dipolyoxyethylene phenyl ether phosphates, sodium polyoxyethylene lauryl ether phosphate, sodium dipolyoxyethylene lauryl ether phosphates. Suitable amphoteric surfactants for use in the present composition include alkylbetaine, alkylaminobetaine, alkylsulphobetaine. Suitable cationic surfactants for use in the present composition include the di-long chain alkyl quaternary ammonium salt, mono-long chain alkyl quaternary ammonium salt, dilong chain alkyl polyoxyethylene quaternary ammonium salts, mono-long chain alkyl polyoxyethylene quaternary ammonium salts, bis-(hydroxyalkyl) quaternary ammonium salt, quaternary ammonium salts having an amide bond or ester bond. These can be used alone or in combination. Component (C) is used in an amount of 1-50% by weight, preferably 2-30% by weight based on the weight of component (B). If the amount of the component (C) is out of this range, the cosmetic composition will not be adequately transparent or semi-transparent.

Components (A), (B), and (C) are incorporated in the cosmetic composition in the ratio of (A)/[(B)+(C)]=0.2-10, preferably 0.5-2. If the ratio of (A)/[(B)+(C)] is less

10

15

20

25

than 0.2, the relative amount of surfactant will be so large that the composition may become transparent, but the irritation to the skin will be a potential problem. If the ratio of (A)/[(B)+(C)] is larger than 10, the composition will not be adequately transparent or semi-transparent.

Suitable aqueous medium (D) for use in the present composition include water, or a combination of water and an aqueous alcohol, such as ethanol, glycerine, sorbitol, propyleneglycol, dipropyleneglycol, 1,3-butanediol. Component (D) is used in an amount of 40-99% by weight, preferably 80-95% by weight, based on the total composition.

Other than the above, ingredients as usually present in cosmetics may be present here as well, including oils, silicone oils, polyols, water soluble polymers, UV-ray absorbers, antiseptics, perfumes, ethanol, inorganic or organic powders, germicides, colorants and the like. It is preferred that oil not be used in the present composition. The maximum should be about 2% by weight even if incorporated.

While the cosmetic composition of this invention is beautifully transparent or semi-transparent, a latex or other reagent can be added to increase the turbidity, if desired. It is also possible to incorporate an ethyleneglycol ester to obtain pearl-like product.

The composition of this invention is produced as follows: Components (A), (B) and (C) are mixed and melted at high temperatures of 65°C-95°C and then aqueous separately mixed is added thereto. An anisotropic liquid crystal phase is formed, and then a lipid microdispersion is obtained. By cooling this to room temperature, the cosmetic composition of the present invention is produced.

In this process, it is preferable that polyols such as glycerine be incorporated into the lipids and surfactants phase, to produce effectively the lipid microdispersion system.

[Examples]

The present invention will be described in detail by way of the following examples. The present invention, however, is not limited to these examples.

5

10

Examples 1-28

The components except water, in the Table 1 to 3, were mixed and melted at a temperature of 85°C-90°C. Hot water heated to the same temperature was added, and the composition was cooled to room temperature to produce a lotion. All of the lotion obtained was transparent or semi-transparent.

TABLE 1

					<i></i>							
					•		_				(% by	weight
						<u>Eu</u>	<u>imples</u>					
	1	2	3	<u> 4 </u>	. 3	6	7	ß	9	10	11	12
Polyoxycthylene hydrogenued											•	
caster oil												
(5更の)					0.33)						
(JOE.0)				1,39		0.83						
(20E.0)							0.69					
(30E.0)	2.5						0.89	1.00				
(40E.0)		2.5							1.7	2.1	3.75	2.5
(SOE.O)			2.5		2.17	1.67	1.61	1.50			••••	-
(NOSCO)			_	1,11								
Sodium polyexyethylene haryl	0.5	0.5	Q.5	0.5	0,5	0.5	0.5	0.5	0.3	0.4	0.75	ځ0
other phosphate (4E.0)												
Amide derivative R1 = C16H3.	3.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0	1.65
$\mathbf{R}^2 = \mathbf{C}_{13}\mathbf{R}_{31}$												
Anticoptics	0.3	0.3	Q3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	ته
86% Glycerin	3.0	5.0	5.0	5.0	5.0	3.0	5.0	5.0	5.0	5.0	5.0	5.0
Water	bal	bal	bal.	bal.	bal.	bal	bal:	bal.	bel.	bat.	. لعنا	200

TABLE 2

				<u>Pv</u>	وعادس		(% by	weight
	13	14	15	16	17	18	19 .	20
Polyoxyethylene hydrogensied caster oil (402.0)	2.3							_=
POLYDRYCThy/mae laury) ether (9)2.0\				0.5				
Polyoxycthylene lauryl other (237:0)			2.5	0.5				
Polynayathylene isocery) ether (20E.m		3.5	ب					
Polyczyczky) me ceryt other (13E.0)		-46.2		1.5				
Polyoxyethylene steary) ether (12E.m)					2.5			
Polyoxychylene olevi edwy (1312 m)			2.5			2.5		
Pelyesycthylene exenoisestesraus (14R.())			4.2				25	
Sodium polyotyethylene leptyl other photoker. (4t) ov	0.5	0.5	0.5					2.5
Amich derivative [R1 = CicH12, R2 = CicH131]	. 1.8	1.6		9.5	0,9	0.5	0.5	0.5
Sdorjene	. 1.40		1.6	1.6	1.6	1.6	1.6	3.0
Cetanol		1.4	1,4	1.4	1.4	1.4	1,4	
Steary) alcohol	0.72							
16% Glycerin	0,46							
55% Ethanol	7.0	5.5	5.5	5.5	3.5	5.5	5.5	3.0
Water		20.0	20,0	20.0	20.0	20.0	20.0	20.0
***************************************	bai.	bal.	DAL	, إحظ	bal.	بلعظ	bal,	baL

TABLE 3

	(% by wei							weight)
	. 21	22	23	24	25	26	27	28
Polyoxyethylene hydrogenuted easter off (40E.0) Sodium polyoxyethylene lauryl other phosphate (4E.0)	2,5 0,1	2.5 0.3	2.5	25	2,5	2.5	2.5	2.5 0.3
Sodium polyoxyethylene cetyl ether phosphate (5E.0) Dipolyoxyethylene alkyl ether phosphata (4E.0)		u.	0.5					
Sodium polyoxyethylene laury) ether sulphate				0.5				0.2
Polyoxyethylene lauryl ether sulphate triethanol amine Sodium lauroyl sarcocin					0.5	_		
Sodium lauroy) methylalanine						0.5	0.5	
Amide derivative $(R^1 - C_{16}H_{33}, R^2 - C_{9}H_{19})$ Cholesterol	1.65	1.65	1.65	1.65	1.65	1.65	1.65	1.65
Steade seld	0.45 0.33	0.45	0,45	0.45	0.45	0.45	0.45	0.45
Parmitle acid	0.33	0.33 0.27	0.33 0.27	0.33 0.27	0.33	0.33 0.27	0.33 0.27	0.33 0.27
Cholesteryl isostessae	0.30	0.30	0.30	0.30	0.30	0.30	0.30	0.30
86% Glycerin	5.0	5.D	5.0	5,0	5.0	5.0	5.0	5.0
Water	bal.	bal.	baī.	bal.	bal.	bal.	bal.	hal.

5 [Advantage of the Invention]

As described above, the amphipathic lipids is stably incorporated with high concentration into the cosmetic composition of the present invention, and the appearance of the cosmetic composition is beautifully transparent or semi-transparent

3/16

SUGHRUE (1)

. 10-12-29;03:43PM;

STATEMENT

I, Satoshi OHGUSHI—hereby declare that I am conversant in both Japanese and English languages and that I believe the following is a true and correct translation of JP-A-63-192703.

Date: December 29, 2010

Satoshi OHGUSHI

4/ 16

- (19) Japanese Patent Office (JP)
- (12) Publication of Patent Application
- (11) Publication Number of Patent Application (A): Sho 63-192703
- (43) Date of publication: August 10, 1988
- 5 (51) Int. Cl4: A61K 7/00, 7/48

Identification Number

Intraoffice Reference Number: 7306-4C, 6971-4C

Request for Examination: made

Number of Claims: 1 (8 pages in total)

- 10 (54) Title of the Invention: External Agent for Skin
 - (21) Application Number: Sho-62-24391
 - (22) Application Date: February 4, 1987
 - (72) Inventor: Yoshitaka Yoda 20-1, Innai 3-chome, Funabashi-shi, Chiba
 - (72) Inventor: Masahiko Asahi 34-2, Otsuka 4-chome, Bunkyo-ku, Tokyo
- 15 (72) Inventor: Toshiyuki Suzuki 5-8, Motokitakata 1-chome, Ichikawa-shi, Chiba
 - (71) Applicant: Kao Corp. 14-10, Kayabacho 1-chome, Nihonbashi, Chuo-ku,

Tokyo

(72) Agent: Patent Attorney Mitsuyuki Ariga, and two others

20

Specification

1. Title of the Invention

External Agent for Skin

- Claim
- 25
 1. An external agent for skin, which comprises the following components (A) and (B),
 - (A) one or two or more of ceramides represented by the general formula (I):

(in the formula, each of R¹ and R² represents a straight or branched chain saturated or unsaturated hydrocarbon group having from 10 to 26 carbon atoms which may be

15

20

5/16

substituted with one or more hydroxyl groups) or a substance having its analogous structure, and

- (B) one or two or more of cholesterol, cholesterol fatty acid ester, fatty acid, triglyceride, cerebroside and phospholipid.
- 2. The external agent for skin according to claim 1, wherein a blending ratio of the component (A) to component (B) is from 8:2 to 2:8 in weight ratio.
- The external agent for skin according to claim 1, which further comprises a surfactant.
- 3. Detailed Description of the Invention
- 10 [Industrial Field of Application]

This invention relates to an external agent for skin, further illustratively to an external agent for skin, which can increase water-retaining capacity of corneum and ameliorate chapped skin.

[Related Art]

Conventionally, it is known that moisture of the horny layer is important for softening the skin by providing the skin with dampness. In addition, it is considered that said preservation of moisture is due to water-soluble components contained in the horny layer, namely free amino acid, organic acids, urea or inorganic ions, and these substances are used alone or in combination for the purpose of ameliorating or preventing chapped skin by blending with a medicinal external agent for skin or a cosmetic.

In addition, separately from this, a large number of moisture-retaining substances having high compatibility with water have been developed and used for the same purpose.

25 [Problems that the Invention is to Solve]

However, the action of these moisture-retaining substances when applied to the skin is to provide the horny layer with moisture by presenting on the skin horny layer and the effect is temporary, so that it is not the action to essentially prevent or treat chapped skin by fundamentally ameliorating water-retaining capacity of horny layer.

30 [Means for Solving the Problems]

Under such actual circumstances, the present inventors have conducted intensive studies with the aim of solving the above-mentioned problems and by drawing attention to the lipid between horney cells, and found as a result that a combination of a

10

15

20

25

ceramide or a substance having analogous structure with a lipid existing between homey cells exerts an effect of fundamentally ameliorating water-retaining capacity of homy layer and that the effect can be further improved when a surfactant is concomitantly used in the above-mentioned mixture, thereby resulting in the accomplishment of the invention.

That is, the invention provides an external agent for skin, which comprises the following components (A) and (B),

(A) one or two or more of ceramides represented by the general formula (I)

$$\begin{array}{cccc}
\mathbf{E}_{z} - \mathbf{C}\mathbf{H} - \mathbf{O}\mathbf{H} & (\mathbf{I} \\
\mathbf{O} & \mathbf{C}\mathbf{H} - \mathbf{C}\mathbf{H}^{T} - \mathbf{O}\mathbf{H} & (\mathbf{I} \\
\mathbf{H} & \mathbf{I} & (\mathbf{I} & \mathbf{H}^{T}) \\
\mathbf{H} & \mathbf{H} & (\mathbf{I} & \mathbf{H}^{T}) \\
\mathbf{H} & (\mathbf{H}^{T}) \\
\mathbf{H} & (\mathbf{H}^{$$

(in the formula, each of R¹ and R² represents a straight or branched chain saturated or unsaturated hydrocarbon group having from 10 to 26 carbon atoms which may be substituted with one or more hydroxyl groups) or a substance having its analogous structure, and

(B) one or two or more of cholesterol, cholesterol fatty acid ester, fatty acid, triglyceride, cerebroside and phospholipid.

The ceramide (I) to be used in the invention is a conventionally known compound. In addition, the substance having its analogous structure means a lipid derivative which has two long chain hydrocarbon groups and has OH group and amido group between them, wherein said molecule can take a conformation on one plane. As such a substance having its analogous structure, for example, an amide derivative represented by the following general formula (II) can be mentioned.

(In the formula, R³ represents a straight or branched chain saturated or unsaturated hydrocarbon group having from 10 to 26 carbon atoms, and R⁴ represents a straight or

10

15

branched chain saturated or unsaturated hydrocarbon group having from 9 to 25 carbon atoms.)

This amide derivative (II) is a novel compound and can be produced in accordance with a conventionally known method [e.g., Polish Journal of Chemistry (Pol. J. Chem.), <u>52</u>, 1059 (1978), ibid., <u>52</u>, 1283 (1978), JP-A 54-117421, ibid. JP-A-54-144308, ibid. JP-A-54-147937]. That is, it can be produced by allowing a compound (III) obtained from a glycidyl ether and ethanolamine in accordance with the reaction scheme shown below to undergo the reaction with a fatty acid methyl ester.

(In the formulae, R3 and R4 are as defined in the foregoing.)

As the cholesterol, the substance represented by the following formula (IV) is most desirable, but any derivative can be used with the proviso that the 3-position hydroxyl group is remained.

As the cholesterol fatty acid ester, those which are represented by the formula (V)

20

10

20

25

(in the formula, R⁵ represents a straight or branched chain saturated or unsaturated hydrocarbon group having from 1 to 25 carbon atoms, which may be substituted with one or more hydroxyl groups) are most desirable; but any derivative can be used with the proviso that the 3-position ester group is remained.

As the fatty acid, those which are represented by the general formula(VI) R^6 -COOH (VI)

(in the formula, R⁶ represents a straight or branched chain saturated or unsaturated hydrocarbon group having from 1 to 25 carbon atoms, which may be substituted with one or more hydroxyl groups) can be used, but a straight chain saturated or unsaturated fatty acid having from 14 to 18 carbon atoms is most suitable.

As the triglyceride, those which are represented by the general formula (VII)

$$R^{T} = \frac{0}{C} = O - CH_{Z}$$

$$R^{E} = \frac{1}{C} = O - CH$$

$$R^{P} = C - O - CH_{Z}$$

$$O = CH_{Z}$$

$$O = CH_{Z}$$

(in the formula, each of R⁷, R⁸ and R⁹ represents a straight or branched chain saturated or unsaturated hydrocarbon group having from 1 to 25 carbon atoms, which may be substituted with one or more hydroxyl groups) can be used.

Cerebroside is a complex lipid in which a sugar is added to the ceramide of the aforementioned formula (I), which is represented by the general formula (VIII)

$$\begin{array}{ccc} \mathbf{E}_1 - \mathbf{C} - \mathbf{N}\mathbf{E} \\ & \mathbf{C} \mathbf{H} - \mathbf{C} \mathbf{H}^2 \sim \mathbf{O}\mathbf{H} \\ & \mathbf{E}_1 - \mathbf{C} \mathbf{H} \sim \mathbf{C} \mathbf{M} \end{array} \tag{A1}$$

(in the formula, X represents a sugar such as glucose and lactose, and R^1 and R^2 are as defined in the foregoing).

The phospholipid is roughly divided into a glycerin derivative such as glycerophospholipid and a ceramide derivative such as sphingophospholipid, and those which are included in any of them can be used in the invention.

It is desirable that the blending ratio of the component (A) to component (B) in the external agent for skin of the invention is from 8:2 to 2:8 in weight ratio. In order

15

20

25

30

to blend them, it is desirable that both of them are mixed at the above-mentioned ratio, dissolved with heating once and then cooled, and the product thus prepared into a paste or solid state is added to the other component, but these may be separately added.

The blending ratio of the component (A) tod component (B) in the external agent for skin of the invention is not particularly limited, but in the case of an emulsification type external agent for skin, it is generally from 0.01% by weight to 60% by weight (to be simply shown by % hereinafter), particularly preferably from 0.1% to 30%, based on the total composition, and in the case of an oily external agent for skin, which uses a liquid hydrocarbon such as squalane as the base, it is preferably from 1% to 90%, particularly from 5% to 50%.

As the surfactant which is used in combination in order to further increase the effect of the present invention, any of a nonionic surfactant, an anionic surfactant, and an ampholytic surfactant can be used, and nonionic surfactant is especially preferred among them.

As the nonionic surfactant, there may be mentioned for example a polyoxyethylene alkyl ether, a polyoxyethylene alkyl phenyl ether, a polyoxyethylene fatty acid ester, a sorbitan fatty acid ester, a polyoxyethylene sorbitan fatty acid ester, a fatty acid monoglyceride, a glyceryl ether and the like. Of these, particularly preferred is a glyceryl ether represented by the following general formula (IX)

$$R^{10} = OCH_2 - CH - CH_2OH \qquad (E)$$
OH

(in the formula, R^{10} represents an alkyl group having from 8 to 24 carbon atoms), wherein R^{10} is represented by the following formula (X)

$$c_{H_2} - (c_{H_2})^b - c_{H_2} + (c_{H_2})^d = (x)$$

(in the formula, p is an integer of from 4 to 10 and q is an integer of from 5 to 11, and they have a distribution of p + q = 11 to 17, with p = 7 and q = 8 as the peaks).

The blending amount of the surfactant is preferably from 0.01% to 20%, particularly from 0.1% to 5%, based on the total composition.

10

15

20

25

30

The external agent for skin of the invention is roughly divided into a medicinal external agent for skin and a cosmetic, based on its used embodiments.

As the medicinal external agent for skin, various types of ointments containing an active ingredient can for example be mentioned. The ointments may be either those which use an oily base as the base or those which use an oil/water or water/oil type emulsion system base as the base. As the oily base, there is no particular limitation, and a plant oil, an animal oil, a synthetic oil, a fatty acid, a natural or synthetic glyceride and the like can for example be mentioned. In addition, as the active ingredient, there is no particular limitation, and for example, an analgesic-anti-inflammatory drug, an antispasmodic agent, a bactericidal disinfectant, an astringent, a skin softening agent, a hormone drug and the like can be optionally used in response to the necessity.

Also, when used as a cosmetic, in addition to the essential components, those which are generally used as cosmetics components, such as an oil content, a moisture keeping agent, an ultraviolet ray absorbent, alcohols, a chelating agent, a pH adjusting agent, an antiseptic, a thickener, a pigment, a perfume and the like, can be blended by optionally combining them.

As the cosmetic, there may be mentioned skin cosmetics having various forms such as, for example, a water/oil or oil/water type emulsion cosmetic, a cream, a cosmetic milky lotion, a face lotion, an oily cosmetic, a rouge, a foundation, a skin cleanser, a hair tonic, a hairdressing, a pilatory, a revitalizing hair tonic and the like.

[Action]

Though details of the action mechanism of the mixture of the component (A) and component (B) in the external agent for skin of the invention have not been revealed completely, it is considered that this constitutes a lipid bilayer between horny cells together with water and thereby exerts moisture-keeping function of the horny layer.

[Advantage of the invention]

Since the external agent for skin of the invention contains the mixture of component (A) and component (B) having such an action, it can exert superior ameliorating and preventive effects on chapped skin.

[Examples]

The following describes the invention with reference to a reference example and examples.

15

20

30

11/ 16

Reference Example 1

 $\label{eq:Synthesis of N-(2-hydroxy-3-hexadecyloxypropyl)-N-2-hydroxyethylhexadecanamide [a case of R^3 = C_{16}H_{32} and R^4 = C_{15}H_{31}] \ (IIa):$

A 5 liter capacity four neck flask equipped with a stirrer, a dropping funnel, a thermometer, a reflux condenser and a nitrogen introducing tube was charged with 1637 g (26.8 mol) of ethanolamine and 327 g (7.11 mol) of ethanol, and while stirring and heating at 80°C under an atmosphere of nitrogen, 400 g (1.34 mol) of hexadecyl glycidyl ether was added dropwise thereto spending 3 hours. After completion of the dropwise addition and further continuation of the stirring with heating for 30 minutes under the same conditions, a distillation device was attached to the flask and the ethanol and unreacted ethanolamine were evaporated under a reduced pressure (from 79°C to 81°C/20 Torr). While stirring with heating at 80°C/20 Torr, 362.3 g of methyl hexadecanoate (1.34 mol) was added dropwise thereto spending 3 hours. After completion of the dropwise addition, the stirring with heating was further carried out for 1 hour under the same conditions, thereby obtaining 801 g of a pale yellow crude product. By recrystallizing this once from hexane and twice from ethanol, 649 g (yield, 81%) of a colorless powder of the compound of interest (IIa) was obtained. Example 1

Preparation of a mixture of component (A) and component (B):

The component (IIa) was weighed in a sample bottle with a glass screw cap to a level of 60% by weight, and the cholesterol [formula (IV)] to a level of 40% by weight. The sample bottle was heated to 150°C using a heating block or the like. When the compound (IIa) and cholesterol were completely dissolved by the heating, the heating was stopped and spontaneously cooled in the air to obtain a paste-like mixture.

25 Example 2

Using an external agent for skin (product 1 of the invention) prepared by blending vaseline with the mixture obtained in Example 1 in such an amount that the (IIa) became 15% by weight and cholesterol became 10% by weight, another external agent for skin (product 2 of the invention) prepared by blending vaseline with the above-mentioned mixture in such an amount that the (IIa) became 15% by weight and cholesterol became 10% by weight and further blending with 4% by weight of the glyceryl ether [a substance in which R¹⁰ in the formula (IX) is that of the formula (X)],

10

15

and vaseline (control), skin conductance and chapped skin were evaluated by the following methods. The results are shown in Table 1.

[Test methods]

A total of 10 females of from 20 years to 50 years who caused chapped skin on cheeks in the winter season were used as the persons to be tested, and different samples were applied to the right and left cheeks of each person once a day for 2 weeks. On the next day of the completion of the 2 weeks of application, tests were carried out on the following items.

(1) Skin conductance

After washing each person's face with hot water of 37°C and subsequent rest of 20 minutes in a room of 20°C in temperature and 40% in humidity, the moisture content in the horny layer was measured using a skin conductance meter (mfd. by IBS). The skin shows chapped skin when the conductance value is small, and the value of 5 or less reflects a severe chapped skin. When this value is 20 or more on the other hand, the chapped skin can hardly be found.

(2) Chapped skin score

Chapped skin was observed with the naked eye and judged by the following criteria. The score was shown by average value ± standard deviation.

Score	Judgment of chapped skin
0	Chapped skin was not found
1	Chapped skin was slightly found
2	Chapped skin was found
3	A slightly severe chapped skin was found
4	Severe chapped skin was found

20

(Results)

Table 1

	Skin conductance	Chapped skin score
Product 1 of the invention	30 ± 4.0	1.0 ± 0.2
Product 2 of the invention	45 ± 5.0	0.6 ± 0.2
Vaseline	6 ± 0.9	2.8 ± 0.7

Example 3

13/ 16

An oil-in-water type emulsion cosmetic was produced by blending the compound (IIa) with the cholesterol represented by the formula (IV) and stearic acid [$R^6 = C_{17}H_{33}$ in the formula (VI)] as shown in Table 2, and skin conductance and chapped skin were evaluated using the same methods of Example 2. The results are shown in Table 3.

Table 2 (% by weight)

18DIE 2	(70 by We	******
Emulsion cosmetic	Product 2 of the invention	Comparative product l
Composition		
Glyceryl ether [R ¹⁰ in the formula (IX) is that of	2.0	2.0
the formula (X)]		
Arginine monocetyl phosphate	1.0	1.0
Polyoxyethylene (60) hydrogenated castor oil	1.0	1.0
Octyldodeoyl rayristate	15.0	15.0
Squalane	15.0	15.0
Stearic acid [$\mathbb{R}^6 = \mathbb{C}_{17}H_{33}$ in the formula (VI)]	2.0	_
Compound (IIa)	3.0	-
Cholesterol	5.0	-
Water	balance	balance

Table 3

	Skin conductance	Chapped skin score
Product 2 of the invention	25 ± 4.0	1.0 ± 0.2
Comparative product I	6.5 ± 1.0	2.1 ± 0.5

10

Example 4

An oil-in-water type emulsion cosmetic was produced by blending a ceramide represented by the formula (I) [bovine brain ceramide (mfd. by Funakoshi Pharmaceutical Co., Ltd.)], cholesterol isostearate [R⁵ in the formula (V) is that of the

14/ 16

formula (X)], stearic acid $[R^6 = C_{17}H_{33}]$ in the formula (VI)] and 2-ethylhexanoic acid $cH_* - (cH_*)_* - cH_* - cH_*$ triglyceride [in the formula (VII), $R^7 = R^8 = R^9 = \frac{cH_* - cH_*}{cH_* - cH_*}$], as shown in Table 4, and skin impedance and chapped skin were evaluated using the same methods of Example 2. The results are shown in Table 5.

5

Table 4	<u>(% by wei</u>	ght)
Emulsion cosmetic	Product 3 of the invention	Comparative product 2
Composition		
Glyceryl ether $ \mathbb{R}^{10}$ in the formula (IX) is that of the formula (X)]	2.0	2.0
Arginine monocetyl phosphate	1.0	1.0
Polyoxyethylene (60) hydrogenated castor oil	1.0	1.0
Squalane	20.0	20.0
2-Ethylhexanoic acid triglyceride	1.0	-
Stearic acid	1.0	-
Cholesterol isostearate	0.5	-
Ceramide	5.0	-
Vaseline	4.0	4.0
Water	balance	<u>balance</u>

Table 5

12010 5	Skin conductance	Chapped skin score
Product 3 of the invention	23 ± 3.5	1.1 ± 0.3
Comparative product 2	7.5 ± 2.0	2.3 ± 0.4

10 Example 5

External agent for skin (oily ointment)

<Composition>

	(1) Vaseline	30.0 (% by weight)
	(2) Ceramide (1)	10.0
	(3) Cholesterol	3.0
	(4) Cholesterol isostearate	2.0
5	(5) Stearic acid	4.0
	(6) Olive oil	balance for total 100
	(7) <i>l</i> -Menthol	0.3
	(8) camphor	0.3
	<pre><pre>roduction method></pre></pre>	

The components (2) to (6) were mixed and dissolved with heating and added to 10 a preparation separately obtained by mixing the components (1), (7) and (8) and dissolving them with heating, and the resulting mixture was uniformly mixed and then cooled to about room temperature, thereby preparing an oily ointment.

Example 6

Cosmetic (milky lotion) 15

<Composition>

Oil phase components:

	Macadamia nut oil	2.0 (% by weight)
	Squalane	5.0
20	Steario acid	1.0
	Compound (IIa)	1.0
	Soybean phospholipid	0.5
	Glucocerebroside (bovine brain extract)	0.5
	Sorbitan monostearate	0.5
25	POE (60) hydrogenated castor oil	1.0
W	ater phase components:	
	Glycerin	1.0
	Propylene glycol	1.0
	Methyl paraben	0.3
30	Perfume .	0.1
	Purified water	balance for total 100

<Pre><Pre>roduction method>

16/ 16

The oil phase components were mixed, dissolved with heating and then kept at 70°C. The water phase components were also mixed with heating at 70°C in the same manner, and the aforementioned oil phase part was added to the water phase components and emulsified using an emulsifier. The emulsified product was cooled to a final temperature of 30°C using a heat exchanger and filled in a container, thereby preparing a milky lotion.

Patent Attorney Nobuo Ono

Applicant Kao Corp.

10 Agent Patent Attorney Mitsuyuki Ariga
Patent Attorney Toshio Takano